

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT: C.J.M. Melief et al.  
SERIAL NO.: 09/316,935  
FILED: 22 May 1999  
FOR: CD40 Binding Molecules and CTL Peptides for Treating Tumors



Cover Sheet

OUR FILE: 98-4

Box Missing Parts  
Commissioner of Patents and Trademarks  
Washington D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts dated Sept. 23, 1999, Applicant encloses a declaration and a copy of the Notice, and a check for \$65.00 to cover the cost of the Notice.

Respectfully Submitted,

Eric P. Mirabel  
Reg. No. 31,211  
Tanox Biosystems, Inc.  
10301 Stella Link Rd.  
Houston Texas 77025  
(713) 664-2288

CERTIFICATION UNDER 37 CFR 1.8(a)(1)

I hereby certify that this transmittal and the documents referred to therein as being enclosed are being deposited with the United States Postal Service on this date 11/23/99 in an envelope with first class postage paid, addressed to: Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231. Applicant(s) hereby petitions for any extension of time needed to make this document timely. Please charge any excess fees due in connection with this matter to deposit account No. 20-0087.

  
Denise Sanders



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/316,935

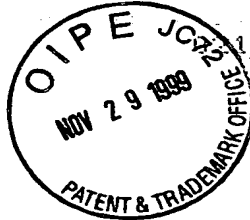
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MELIEF

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ERIC MIRABEZ  
TANOX INC  
10301 STELLA LINK  
HOUSTON TX 77025



NOT ASSIGNED

1651

DATE MAILED:

09/23/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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